### TENT COOPERATION TREATY

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NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU

To:

SCHMITZ, Yvon Gevere Patents Holidaystrast 5 B-1831 Diegem BELGIQUE

2 3 MOV. 1998

Date of mailing (day/month/year)

12 November 1998 (12.11.98)

Applicant's or agent's file reference

DPPC 402.012

International filing date (day/month/year)

Priority data (day/month/year)

IMPORTANT NOTICE

International application No. PCT/BE98/00084

07 May 1998 (07.05.98)

07 May 1997 (07.05.97)

Applicant

PHARLYSE, SOCIETE ANONYME et al

 Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice: AU, BR,CA,CN,EP,IL,JP,KP,KR,NO,PL,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken piece on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

AL,AM,AP,AT,AZ,BA,BB,BG,BY,CH,CU,CZ,DE,DK,EA,EE,E\$,FI,GB,GE,GH,GM,GW,HU,ID,IS,KE,KG,KZ,LC,LK,LR,LS,LT,LU,LV,MD,MG,MK,MN,MW,MX,NZ,OA,PT,RO,RU,SD,SE,SG,SI,SK,SL,TJ,

TM,TR,TT,UA,UG,UZ,VN,YU,ZW
The communication will be made to those Offices only upon their request, Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1 (e-bis)).

 Enclosed with this Notice is a copy of the international application as published by the International Bureau on 12 November 1988 (12.11.98) under No. WO 98/50016

### REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 64.2)

If the applicant wishes to postpone entry into the national phase until 30 menths (or later in some Offices) from the priority date, a demand for international preliminary examination must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

it is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

## REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))

If the applicant wishes to proceed with the international application in the sectional phase, he must, within 20 months or 30 months, or later in some Offices, perform the sots referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Valume II of the PCT Applicant's Guide.

The International Sureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized efficer

J. Zahra

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Telephone No. (41-22) 338.83.38

(8881 YUL) 808/41

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# PATENT COOPERATION TREA

From the INTERNATIONAL BUREAU

To

NOTIFICATION CONCERNING SUBMISSION OF PRIORITY DOCUMENTS

PCT

(PCT Administrative Instructions, Section 411)

SCHMITZ, Yvon Gevers Patents Holidaystraat 5 B-1831 Diegem BELGIQUE

Date of mailing (day/month/year)

04 June 1998 (04.06.98)

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DPPC 402.012

IMPORTANT NOTIFICATION

International application No. PCT/BE98/00064

International filling date (day/month/year) 07 May 1998 (07.05.98) Priority date (day/month/year) 07 May 1997 (07.05.97)

Applicant

PHARLYSE, SOCIÉTE ANONYME et al

The applicant is hereby notified of the date of receipt by the International Bureau of the priority document(s) relating to the following application(s):

Priority application No:

Priority date:

Priority country:

Date of receipt of priority document:

97870065.6

07 May 1997 (07.05.97)

ΕP

29 May 1998 (29.05.98)

The International Bureau of WIPO 34, chemin des Colombettes 1211 Ganeva 20, Switzerland Authorized officer

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Form PCT/IB/304 (July 1992)

002067346



### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	(Form PCT/ISA/2	of Transmittal of International Search Report 220) as well as, where applicable, item 5 below.
DPPC 402.012 International application No.	ACTION  International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/BE 98/00064	07/05/1998	07/05/1997
Applicant		
PHARLYSE, SOCI T ANONYME	at al	
THAREFOL, SOUL 1 MIGHTIE	et ai.	
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Auth ansmitted to the International Bureau.	nority and is transmitted to the applicant
This International Search Report consists  X It is also accompanied by a copy	of a total of3 sheets. y of each priorart document cited in this report.	•
Certain claims were found uns	searchable(see Box I).	
2. Unity of invention is lacking(s	ee Box II).	
3. The international application con	ntains disclosure of a nucleotide and/or amino	o acid sequence listing and the
	I with the international application.	
	ished by the applicant separately from the inter	rnational application,
	but not accompanied by a statement to the matter going beyond the disclosure in the	e effect that it did not include international application as filed.
Tran	nscribed by this Authority	
	text is approved as submitted by the applicant	
. [_] the t	text has been established by this Authority to re	ead as follows:
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Box	text has been established, according to Rule 38 III. The applicant may, within one month from troh Report, submit comments to this Authority.	the date of mailing of this International
The figure of the drawings to be publication.	ished with the abstract is	
l —	suggested by the applicant.	χ None of the figures.
	ause the applicant failed to suggest a figure.	<b>3</b>
	ause this figure better characterizes the invention	on.
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# XP 002044134

- ./1 (C) FILE HCA
  - AN 117:239737 HCA
  - TI Consolidation and compaction of powder mixtures: III. Binary mixtures of different particle size fractions of different types of crystalline lactose
  - IN Riepma, K. A.; Zuurman, K.; Bolhuis, G. K.; De Boer, A. H.; Lerk, C.
    F.
  - CS Dep. Pharm. Technol. Biopharm., Univ. Groningen, Groningen, 9713 AV, Neth.
  - SO Int. J. Pharm. (1992), 85(1-3), 121-8 CODEN: IJPHDE; ISSN: 0378-5173
  - DT Journal
  - LA English
  - AB - Tablets were compacted from a coarse fraction (250-315 .mu.m), a fine fraction (32-45 .mu.m) and from binary blends of a coarse and a fine fraction of different types of cryst. lactose. The results showed differences in consolidation and compaction between the granular lactose types, i.e., roller-dried .beta.-lactose and anhyd. .alpha.-lactose, and the non-granular lactose types, namely, cryst. .beta.-lactose and .alpha.-lactose monohydrate. Equal particle size fractions of the granular types of lactose exhibited greater specific powder surface areas, less fragmentation on compression, and higher binding capacities than the non-granular types. Slight increases in consolidation were demonstrated on compression of binary blends of the coarse and fine fraction of the different types Differences in morphol. between the lactose types were of lactose. shown by increasing true densities of the granular types when examd. on tablets compacted with increasing compression force. No change in true densities on compaction were demonstrated by the non-granular types.

International Application No PCT/BE 98/00064

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 A61K9/00 A61K47/26

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  $IPC \quad 6 \qquad A61K$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.			
Α	WO 91 11179 A (NATIONAL RESEARCH DEVELOPMENT CORPORATION) 8 August 1991 cited in the application see claims 1-21	1-13			
Α	WO 95 24889 A (GLAXO GROUP LTD) 21 September 1995 see claims 1-17 see page 4, line 26 - page 5, line 12	1-13			
Α	US 5 551 489 A (EVA A. C. TROFAST ET AL) 3 September 1996 see the whole document	1-13			
A	US 3 802 914 A (R. L.NEZBED) 9 April 1974 see the whole document/	1-13			

X Further documents are listed in the continuation of box C.	χ Patent family members are listed in annex.
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Date of the actual completion of theinternational search	Date of mailing of the international search report
17 August 1998	25/08/1998
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2	Authorized officer
NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nt, Fax: (+31-70) 340-3016	Siatou, E



International Application No PCT/BE 98/00064

		PCI/BE 98	57 00004	
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
Α	DATABASE CHEMABS CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US AN: 117:239737, K. A. RIEPMA ET AL: "Consolidation and compaction of powder mixtures: III. Binary mixtures of different particle size fractions of different types of crystalline lactose" XP002044134 & Int. J. Pharm. (1992), 85(1-3), 121-8 see abstract		1-13	
	see abstract 			
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# NTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No PCT/BE 98/00064

Patent document cited in search report	t	Publication date	!	Patent family member(s)	Publication date
WO 9111179	A	08-08-1991	AU CA DE DE EP GB JP PT US	635616 B 7155991 A 2049302 A 69100792 D 69100792 T 0464171 A 2240337 A,B 4504427 T 96567 A 5254330 A 5376386 A	25-03-1993 21-08-1991 25-07-1991 27-01-1994 14-04-1994 08-01-1992 31-07-1991 06-08-1992 15-10-1993 27-12-1994
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US 5551489	A	03-09-1996	AU CZ EP FI HU NO PL WO ZA AU BR JP SK CN	7826194 A 9600942 A 0721331 A 961430 A 74519 A 961290 A 313765 A 9509615 A 9407533 A 679789 B 9407686 A 9504224 T 39196 A 1132476 A	01-05-1995 12-06-1996 17-07-1996 29-03-1996 28-01-1997 29-03-1996 22-07-1996 13-04-1995 03-04-1995 10-07-1997 04-02-1997 28-04-1997 04-06-1997 02-10-1996
 US 3802914	Α	09-04-1974	CA	980768 A	30-12-1975





# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



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Published

With international search report. With amended claims.

(54) Title: DRY POWDER INHALER EXCIPIENT, PROCESS FOR ITS PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING IT

(57) Abstract

(30) Priority Data:

A pharmaceutical excipient useful in the formulation of dry powder inhaler compositions comprising a particulate roller-dried anhydrous  $\beta$ -lactose, said  $\beta$ -lactose particles having a size between 50 and 250 micrometers and a rugosity between 1.9 and 2.4, and the so formulated pharmaceutical compositions.

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WO 98/50015

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#### CLAIMS

- 1. A pharmaceutical excipient useful in the formulation of dry powder inhaler compositions, characterized in that it comprises a particulate roller-dried anhydrous β-lactose.
- 2. An excipient according to claim 1, characterized in that the roller-dried β-lactose particles have a size between 50 and 250 micrometers.
- 3. An excipient according to claim 2, characterized in that said particles have a size comprised between 100 and 160 micrometers.
- 4. An excipient according to any of claims 1 to 3, characterized in that said particulate roller-dried anhydrous β-lactose has a rugosity comprised between 1.9 and 2.4.
  - 5. A dry powder inhaler pharmaceutical composition, characterized in that it comprises a mixture of an active ingredient and an excipient as claimed in any one of claims 1 to 4.
  - 6. A composition according to claim 5, characterized in that the active ingredient is a particulate solid with a particle diameter comprised between 0.5 and 6 micrometers.
  - 7. A composition according to either of claims 5 and 6. characterized in that the weight ratio of the active ingredient in relation to the excipient is of from 0.1/100 to 50/100.
  - 8. A composition according to any of claims 5 to 7. characterized in that the active ingredient is selected from the group comprising mucolytics, steroids, sympathomimetics, proteins, peptides and inhibitors of mediator's release.
  - 9. A composition according to claim 8, characterized in that the active ingredient is a mucolytic agent such as L-lysine Nacetylcysteinate.
- 10. A composition according to claim 9, characterized in 30 that it comprises a mixture of particulate L-lysine N-acetylcysteinate and

roller-dried anhydrous  $\beta$ -lactose constituted by particles of 100 to 160 micrometers in size and of 1.9 to 2.4 in rugosity, the weight ratio of L-lysine N-acetylcysteinate in relation to the roller-dried anhydrous  $\beta$ -lactose being of from 1/2 to 1/6.

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- 11. A composition according to claim 9, characterized in that the weight ratio of L-lysine N-acéthylcysteinate in relation to the roller-dried anhydrous  $\beta$ -lactose is comprised between 1/2 and 1/4.
- 12. A composition according to claim 11, characterized in that said weight ratio is of the order of 1/4.

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13. A process for the preparation of an excipient as claimed in any one of claims 1 to 4, characterized in that anhydrous  $\beta$ -lactose in a powder form is dissolved in demineralised water, fed between two counterrotating drums, which are steam heated and then screeped from the surface of the drums.